

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

(19)



Europäisches Patentamt

European Patent Office

Office européen des brevets



(11)

EP 0 893 440 A1

(12)

**EUROPEAN PATENT APPLICATION**

(43) Date of publication:  
27.01.1999 Bulletin 1999/04

(51) Int. Cl.<sup>6</sup>: C07D 209/88, A61K 31/40

(21) Application number: 97112491.2

(22) Date of filing: 22.07.1997

(84) Designated Contracting States:  
AT BE CH DE DK ES FI FR GB GR IE IT LI LU MC  
NL PT SE  
Designated Extension States:  
AL LT LV RO SI

(72) Inventors:  
• Beyer, Peter, Dr., Dipl.-Chem.  
67433 Neustadt (DE)  
• Reinholtz, Erhard, Dr., Dipl.-Chem.  
68542 Heddesheim (DE)

(71) Applicant:  
BOEHRINGER MANNHEIM GMBH  
68298 Mannheim (DE)

(54) **Thermodynamically stable modification of 1-(4-carbazolyl oxy)-3-[2-(2-methoxyphenoxy)ethylamino]-2-propanol, process for its preparation and pharmaceutical compositions containing it**

(57) The present invention relates to a new thermodynamically stable modification of 1-(4-carbazolyl oxy)-3-[2-(2-methoxyphenoxy)ethylamino]-2-propanol (Carvedilol), pharmacologically acceptable salts, or optically active forms thereof, processes for the preparation, and pharmaceutical compositions containing it.

EP 0 893 440 A1

**Description**

The present invention relates to a new thermodynamically stable modification of ( $\pm$ )-1-(4-carbazolyloxy)-3-[2-(2-methoxyphenoxy)ethylamino]-2-propanol (Carvedilol), pharmaceutically acceptable salts or optically active forms thereof, processes for the preparation, and pharmaceutical compositions containing it.

Carvedilol, having a melting point of 114-115°C, is a compound with excellent pharmacological properties (Merck Index 11. Ed. No. 1882), known to be active in the treatment of cardiac diseases. The preparation and its use in medicine is described in EP-B-0 004 920.

Carvedilol has a chiral center and, as such can exist either as individual stereoisomers or in racemic form. Both the racemate and stereoisomers may be obtained according to procedures well known in the art (EP-B-0127099).

It has now been discovered that Carvedilol can be isolated in two different modifications depending upon the method of preparation which are distinguishable by their infra-red Raman and X-ray powder diffraction spectra, and their melting points. The two polymorphic forms are monotropic and they are hereinafter designated as Form I and Form II. It is desirable to prepare a therapeutic agent consisting of an unique and defined composition which has a high storage stability.

The present invention provides a thermodynamically stable crystalline form of Carvedilol substantially free of other physical forms having a melting point about 123-126°C, and an infra-red spectrum with a sharp peak at 3451  $\text{cm}^{-1}$ , which is referred to hereinafter as Form I.

The invention also provides a process for producing this substantially pure Form I. In another embodiment of this invention, there is provided a pharmaceutical formulation containing the substantially pure Form I of Carvedilol as an active ingredient.

Finally, the present invention provides a method of using the new substantially pure form to prevent and/or treat circulatory and cardiac diseases.

Where reference is made in this application to Form I or Form II substantially free of other physical forms, it preferably means that at least 90% by weight of Form I or Form II is present in that modification.

Form II is the modification of Carvedilol prepared and purified according to EP-B-0 004 920.

Surprisingly it was now found that a new thermodynamically stable modification of Carvedilol (Form I) with a higher melting point is obtained when the process of manufacture is slightly altered.

The melting points of each Forms I respectively II depend upon their level of purity, consequently Form I has been found to have a melting point of about 123-126°C, Form II about 114-115°C.

Furtheron it has been discovered that Form I is that of being the thermodynamically stable form, which is of advantage. Therefore this thermodynamically stable

form is given preference in the preparation of pharmaceutical formulations.

Pharmaceutically acceptable salts are considered to be encompassed within the compounds and the method of the present invention. The term „pharmaceutically acceptable salts" refers to salts of substantially pure Form I which are substantially non-toxic to living organism. For the conversion of Carvedilol into its pharmaceutically acceptable salts, it is reacted, preferably in an organic solvent, with an equivalent amount of an inorganic or organic acid, for example hydrochloric acid, hydrobromic acid, phosphoric acid, sulphuric acid, acetic acid, citric acid, maleic acid or benzoic acid. It should be recognized that any particular anion forming a part of any salt of this invention is not critical, so long as the salt, as a whole, is pharmaceutically acceptable and as long as the anion moiety does not contribute undesired qualities.

For the resolution of the racemates, there can be used for example, tartaric acid, malic acid, camphoric acid or camphorsulphonic acid.

According to another aspect, the invention provides a pharmaceutical composition, which comprises Form I substantially free of other physical forms and a pharmaceutical acceptable carrier or adjuvant.

The compounds of the present invention may be administered by any suitable route, preferably in the form of a pharmaceutical composition adapted to such a route and in dose effective for the treatment intended.

Therapeutically effective doses of the compounds of the present invention required to prevent or arrest the progress of the medical condition are readily ascertained by one of ordinary skill in the art.

Accordingly, the invention provides a class of novel pharmaceutical compositions comprising Carvedilol of Form I of the present invention, in association with one or more non-toxic pharmaceutically acceptable carriers and/or adjuvants (collectively referred to herein as „carrier materials") and, if desired, other active ingredients.

The compounds and compositions may, for example, be administered orally, intravascularly, intraperitoneally, subcutaneously, intramuscularly or topically.

For all administrations, the pharmaceutical composition may be in the form of, for example, a tablet, capsule, creme, ointment, gel, lotion, suspension or liquid. The pharmaceutical composition is preferably made in the form of a dosage unit containing a particular amount of the active ingredient. Examples of such dosage units are tablets or capsules. A suitable daily dose for a mammal may vary widely depending on the condition of the patient and other factors. However, a dose from about 0.01 to 100 mg/kg body weight, particularly from about 0.05 to 3 mg/kg body weight, respectively 0.01-10 mg/cm<sup>2</sup> skin, may be appropriate. The active ingredient may also be administered by injection.

The dose regimen for treating a disease condition with the compounds and/or compositions of this invention is selected in accordance with a variety of factors,

including the type, age, weight, sex and medical conditions of the patient and in accordance to the severity of the disease and thus may vary widely.

For therapeutic purposes, the compounds of the invention are ordinarily combined with one or more adjuvants appropriate to the indicated route of administration. If per os, the compound may be admixed with lactose, sucrose, starch powder, cellulose esters of alcanoic acids, cellulose alkyl ester, talc, stearic acid, magnesium stearate, magnesium oxide, sodium and calcium salts of phosphoric and sulphuric acids, gelatine, acacia, sodium alginate, polyvinyl-pyrrolidone and/or polyvinyl alcohol, and thus tabletted or encapsulated for convenient administration. Alternatively, the compound may be dissolved in water, polyethylene glycol, propylene glycol, ethanol, corn oil, cotton seed oil, peanut oil, sesame oil, benzyl alcohol, sodium chloride and/or various buffers. Appropriate additives for the use as ointments, creams or gels are for example paraffine, vaseline, natural waxes, starch, cellulose, or polyethyleneglycole. Other adjuvants and modes of administration are well and widely known in the pharmaceutical art.

Appropriate dosages in any given instance, of course, depend upon the nature and severity of the condition treated, the route of administration and the species of mammal involved, including its size and any individual idiosyncrasies.

Representative carriers, dilutions and adjuvants include, for example, water, lactose, gelatine starch, magnesium stearate, talc, vegetable oils, gums, polyalkylene glycols, petroleum jelly, etc. The pharmaceutical compositions may be made up in a solid form, such as granules, powders or suppositories, or in liquid form, such as solutions, suspensions or emulsions. The pharmaceutical compositions may be subjected to conventional pharmaceutical adjuvants, such as preservatives, stabilizers, wetting agents, emulsifiers, buffers, etc.

As indicated, the dose administered and the treatment regimen will be dependent, for example, on the disease, the severity thereof, on the patient being treated and his response to treatment and, therefore, may be widely varied.

#### Characterization of Forms I and II of Carvedilol

##### Thermomicroscopy

Thermal analysis was carried out with a Kofler heating stage (Reichert, Vienna) mounted on a video-equipped Olympus microscope BH-2 or with a Kofler heating stage microscope Thermovat® (Reichert, Vienna); both microscopes with polarisation facility and digital thermometer.

Form II consists of heterogeneously looking rhombohedral to hexagonally shaped lamellar crystals up to a size of 120 µm, which melt about 114-115°C, whereas Form I consists of 40 µm large grains, respectively prisms, which melt about 123-126 °C.

##### Differential Scanning Calorimetry (DSC)

DSC was carried out with DSC-7 (Perkin-Elmer Norwalk, Ct., USA) equipped with cooling system CCA-7, perforated A1 sample capsules (25 µl), weighed object 1.5 mg each (ultra-micro weighing scale UM 3, Mettler CH-Greifensee, Switzerland). Nitrogen 4.0 as flushing gas (20 ml min<sup>-1</sup>). Computer-aided recording of DSC signal. Calibration of temperature indication for CCA curves with melting point for water and caffeine anhydrate (melting point 236.2 °C), each with tightly sealed sample capsule. Calibration of ordinates (DSC signal) with melting heat of indium 99.999% (Perkin-Elmer, Norwalk, Ct., USA).

The measured melting points correspond to the ones determined thermomicroscopically. It could be estimated by the way of the measured melting heats (Form I: ΔH<sub>m</sub> 48.2 kJ/mol; Form II ΔH<sub>m</sub> 51.0 kJ/mol), that the crystallise consisting of Form I is contaminated with approximately 2 to 3% of Form II, which could also be seen thermomicroscopically.

##### FT-IR, FT Raman spectroscopy and X-ray diffractometry

FT-IR spectroscopy was carried out with a Bruker IFS 25 FT-IR spectrometer. For the production of the KBr compacts approximately 1 mg of sample was powdered with 270 mg of KBr. The spectra were recorded in transmission mode ranging from 4000 to 600 cm<sup>-1</sup>. Resolution: 2 cm<sup>-1</sup> (50 interferograms).

FT Raman spectroscopy was carried out with Bruker RFS 100 FT Raman spectrometer, equipped with a diode-pumped Nd:YAG laser (1064 nm) and a liquid nitrogen cooled highly sensitive detector. The powdered samples were pressed into small aluminium fittings, the spectra were recorded at an initial capacity of 200 mW, resolution: 4 cm<sup>-1</sup> (50 interferograms).

X-ray powder diffractometry was carried out with a Siemens X-ray diffractometer D-5000, Difrac/AT with θ/θ goniometer, CuK<sub>α</sub>-rays, nickel filter for monochromatisation, rotation of sample during measurement, scintillation counter, angular range 2° to 40° (20), steps of 0.01° (20), measuring time 2 secs.

The IR spectra of both modifications show great differences in the stretching vibration range (Form I 3451 cm<sup>-1</sup>; Form II 3345 cm<sup>-1</sup>) (Fig. 1,2), which are caused by different hydrogen bridges. This corresponds to the Raman spectra differing only little. The biggest difference in the Raman spectra is at approximately 2942 and approximately 755 cm<sup>-1</sup> (Fig. 3,4). The X-ray powder diffraction pattern of Form I has characteristic peaks occurring at 2θ = 9.5, 10.8, 12.0, 14.6, 19.6, 21.5, and 22.3 (Fig. 5) whereas the characteristic peaks of Form II occur at 2θ = 5.9, 14.9, 17.6, 18.5, and 24.4 (Fig. 6).

Process for preparing Form I Carvedilol

## Example 1

Crude Carvedilol is prepared according to the procedure described in EP-B-0 004 920, in methanol. Crude Carvedilol (based on 300 g dry Carvedilol), 15 g CXA-coal and 2800 ml methanol are heated for 15 minutes under reflux in a three-neck-flask. The hot solution is filtered and washed with 300 ml hot methanol and heated under reflux again. Subsequently the solution is cooled down during half an hour to 30°C, stirred between 3 to 22 hours and cooled down slowly to 0°C in 3 1/2 hours. After stirring the solution for additional two hours at 0°C the product is isolated, washed three times with 40 ml methanol and dried under vacuo at 60°C for 24 hours. 203-255 g of pure Form I are obtained and characterized as described before.

Form II can be obtained by an additional recrystallization process in isopropanol. 20

5. The process of claim 3 or 4, wherein the higher melting carvedilol modification is recovered at 0°C from the rearrangement reaction mixture.

5. 6. A pharmaceutical composition comprising a substantially pure form of the stable modification of 1-(4-carbazolyl oxy)-3-[2-(2-methoxyphenoxy)ethyl-amino]-2-propanol as the active ingredient as claimed in claim 1 together with one or more pharmaceutical acceptable carriers or adjuvants.
- 10 7. The use of a pharmaceutical composition as claimed in claim 5 for the manufacturing of a medicament for the prophylaxis or treatment of cardiac diseases.
- 15

## Example 2

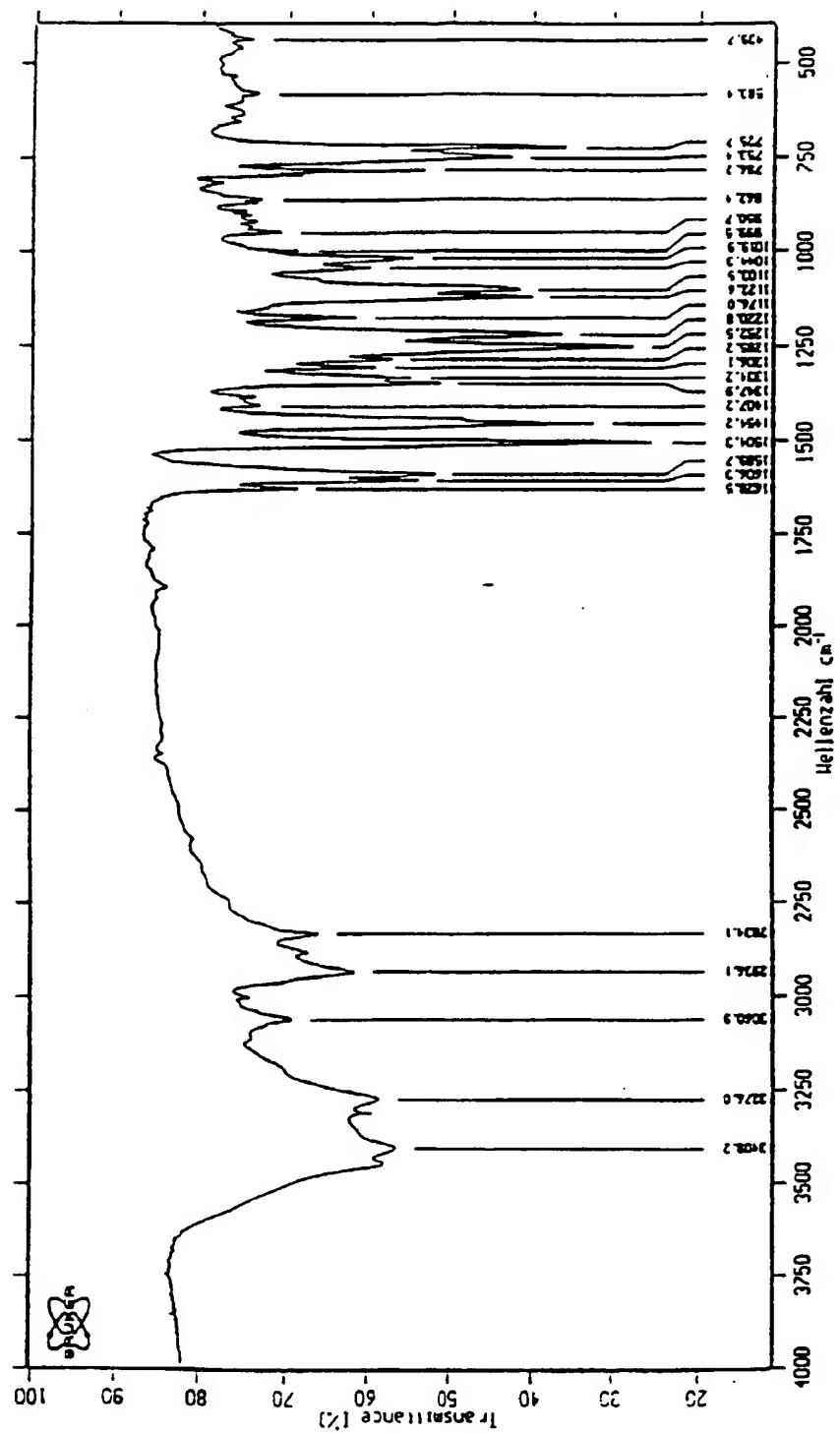
A 1:1 mixture of Form I and Form II was suspended in isopropanol and agitated with a magnetic stirrer for 18 h in a tightly sealed glass cylinder. During this time the temperature was repeatedly increased and lowered between 10 and 25°C. Subsequently the sample was filtered with a micro glass filter funnel (G3), dried and evacuated. The IR spectrum of this sample corresponds to Form I. The DSC curve does not show a peak between 114-115°C, thus this is pure Form I. 25

It will be understood that the specification and examples are illustrative but not limitative of the present invention and that other embodiments within the spirit and scope of the invention will suggest themselves to those skilled in the art. 30 35

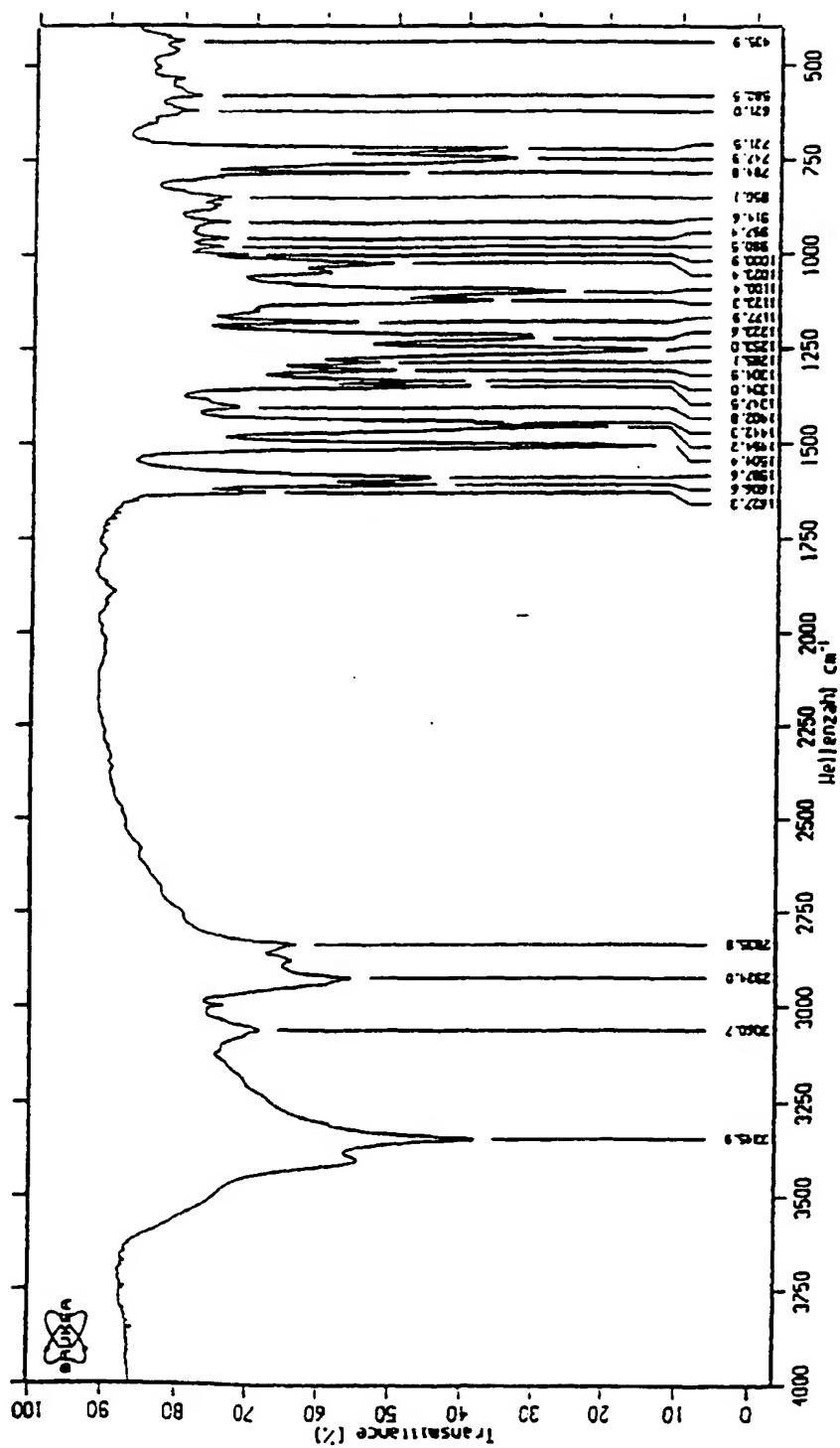
## Claims

1. Modification of ( $\pm$ )-1-(4-carbazolyl oxy)-3-[2-(2-methoxyphenoxy)ethylamino]-2-propanol having the following X-ray diffraction pattern obtained with a  $\text{CuK}\alpha$  radiation at  $2\theta = 9.5, 10.8, 12.0, 14.5, 19.6, 21.5, 22.3$ , and an infrared spectrum having sharp peaks at  $3451 \text{ cm}^{-1}$ , wherein the melting point is about 123-126 °C. 40
2. Pharmacologically acceptable salts or optical active forms of the compound according to claim 1. 50
3. A process for preparing and isolating substantially pure form of the compound as claimed in claim 1.
4. The process of claim 3, wherein the rearrangement is carried out at a temperature between 25 and 35 °C for a time from 3 to 22 hours in methanol. 55

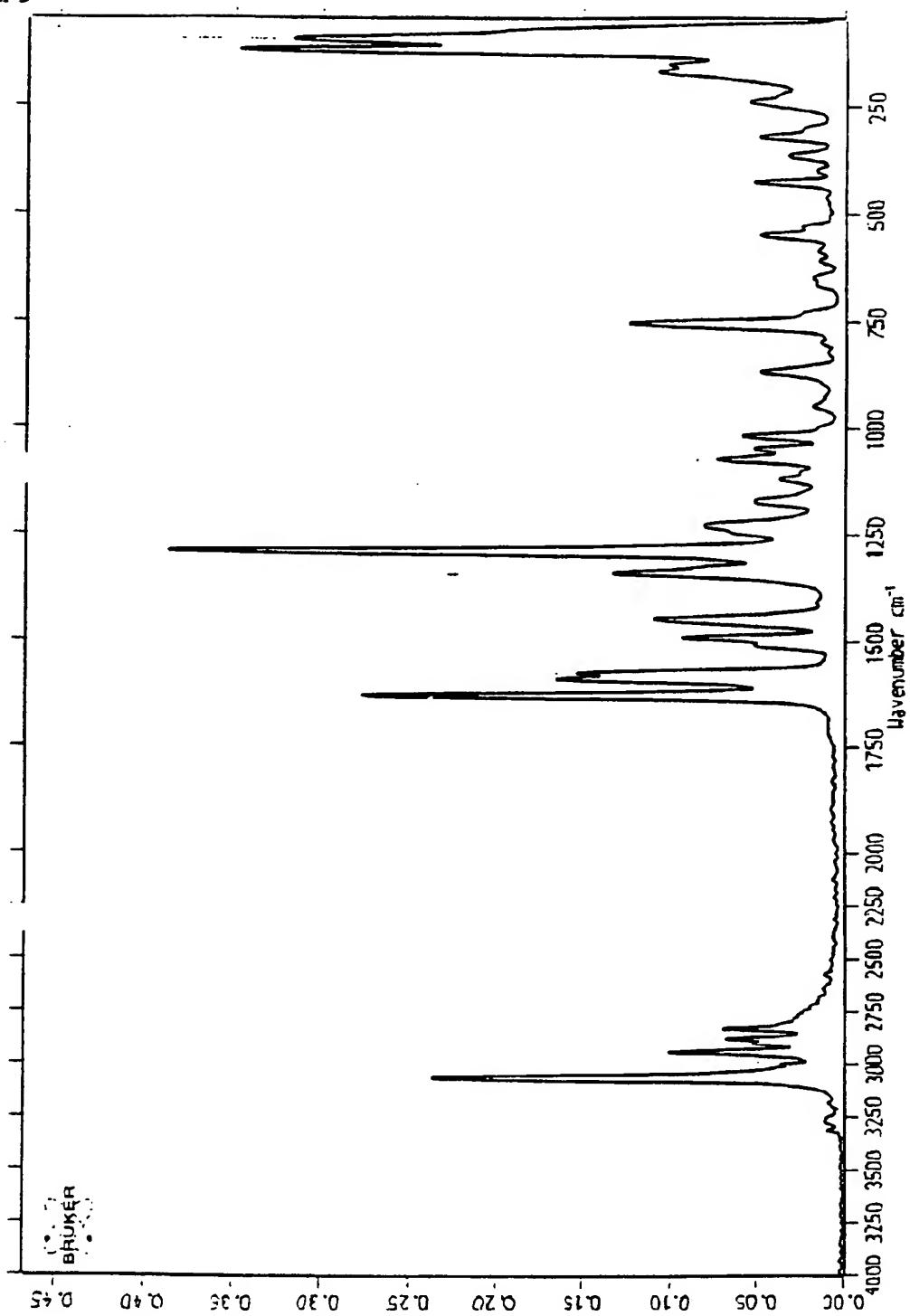
Figur 1



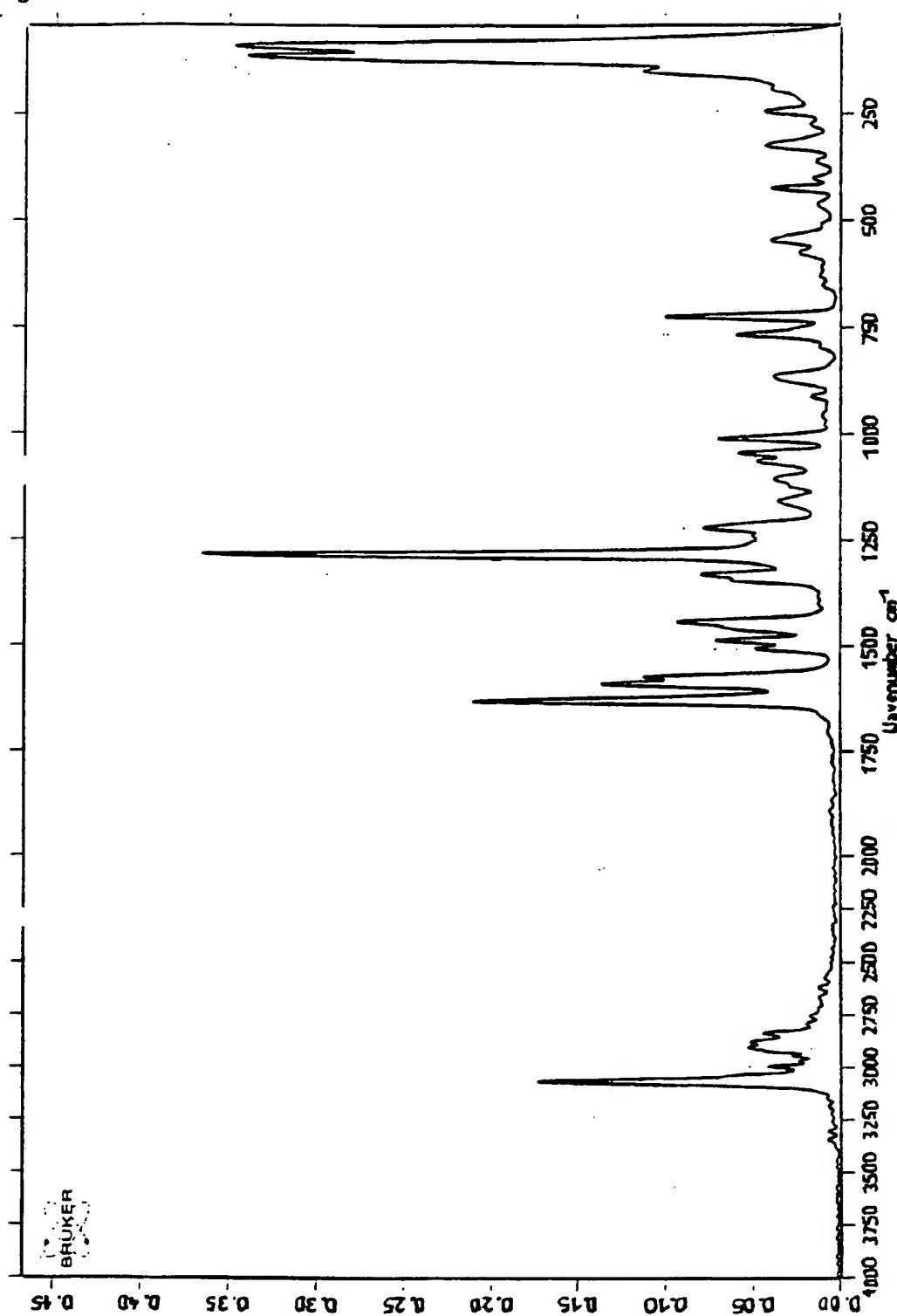
Figur 2



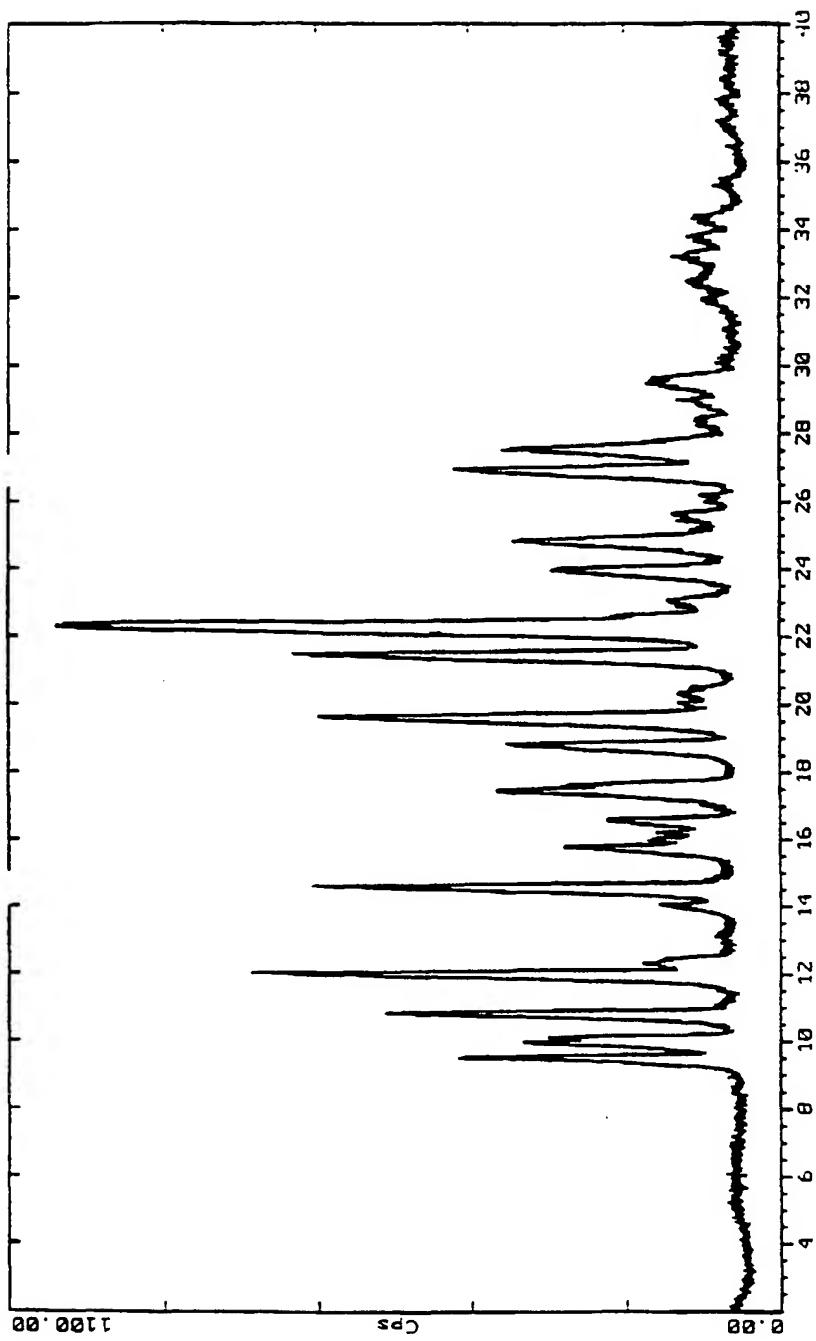
Figur 3



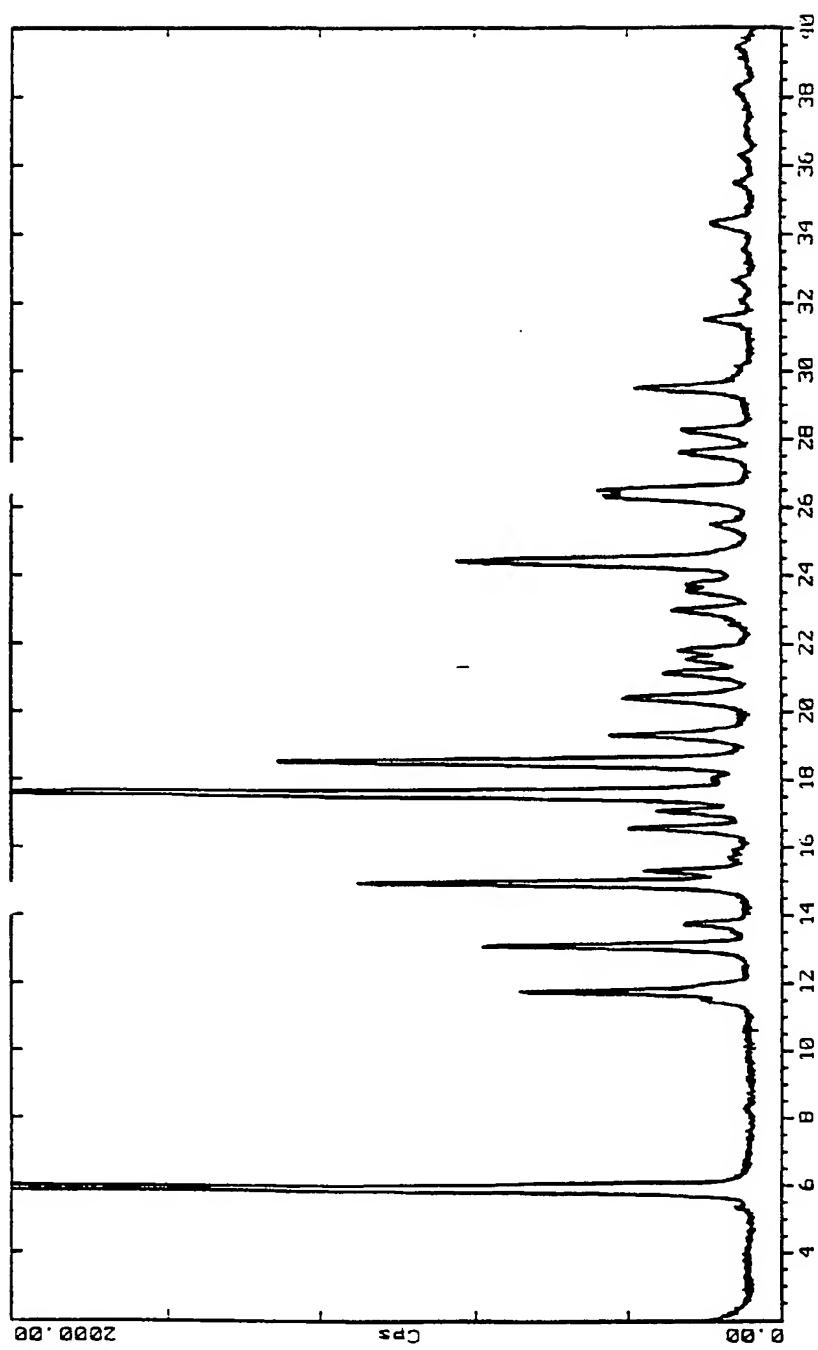
Figur 4



Figur 5



Figur 6





DOCUMENTS CONSIDERED TO BE RELEVANT			CLASSIFICATION OF THE APPLICATION (Int.Cl.6)
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	
D, X	EP 0 127 099 A (BOEHRINGER MANNHEIM ) * examples 7,8 *	1-7	C07D209/88 A61K31/40
A	DE 28 15 926 A (BOEHRINGER MANNHEIM) * example 2 *	1-7	
A	DRUGS TODAY, vol. 31F, September 1995, pages 1-23, XP002039368 G. Z. FEUERSTEIN ET. AL.: "Carvedilol Update III. Rationale for use in congestive heart failure." * whole document *	1-7	
			TECHNICAL FIELDS SEARCHED (Int.Cl.6)
			C07D A61K
<p>The present search report has been drawn up for all claims</p>			
Place of search	Date of completion of the search	Examiner	
MUNICH	1 September 1997	Helps, I	
CATEGORY OF CITED DOCUMENTS		T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons ..... & : member of the same patent family, corresponding document	
X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document			